

IAP7 Rec'd PCT/PCTO 20 APR 2006

FORM PTO-1390  
(REV. 2-2005)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER

P/1071-1616

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/576591

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

INTERNATIONAL APPLICATION NO.

PCT/JP2004/012560

INTERNATIONAL FILING DATE

31 August 2004

PRIORITY DATE CLAIMED

4 November 2003

## TITLE OF INVENTION

CARD DEVICE

## APPLICANT(S) FOR DO/EO/US

Wataru KAKINOKI, Yasutaka MAEKAWA, Noboru MORIOKA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected (Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 20 below concern other document(s) or information included:

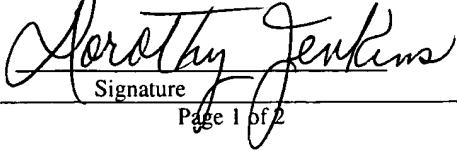
11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A preliminary amendment.
14.  An Application Data Sheet under 37 CFR 1.76
15.  A substitute specification.
16.  A power of attorney and/or change of address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18.  A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
20.  Other items or information (specify): 3 sheets of drawings; EFS form; postcard; publication, International Search Report, PTO-1449 form, Written Opinion, six cited references

## EXPRESS MAIL CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV324436558US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450, on April 20, 2006:

Dorothy Jenkins  
Name of Person Mailing Correspondence

Signature



Page 1 of 2

April 20, 2006

Date of Signature

U.S. APPLICATION NO. (If known, see 37 CFR 1.15) <b>107576591</b>		INTERNATIONAL APPLICATION NO. <b>PCT/JP2004/012560</b>		ATTORNEY'S DOCKET NUMBER <b>P/1071-1616</b>																																					
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<p>The following fees have been submitted</p> <table border="1"> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee.....</td> <td style="text-align: right;">\$300</td> <td style="text-align: right;">\$300.00</td> <td colspan="3"></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....</td> <td style="text-align: right;">\$100</td> <td style="text-align: right;">\$200.00</td> <td colspan="3"></td> </tr> <tr> <td>All other situations.....</td> <td style="text-align: right;">\$200</td> <td></td> <td colspan="3"></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....</td> <td style="text-align: right;">\$100</td> <td style="text-align: right;">\$400.00</td> <td colspan="3"></td> </tr> <tr> <td>International Search Report prepared and provided to the Office.....</td> <td style="text-align: right;">\$400</td> <td></td> <td colspan="3"></td> </tr> <tr> <td>All other situations.....</td> <td style="text-align: right;">\$500</td> <td></td> <td colspan="3"></td> </tr> </table>						21. <input checked="" type="checkbox"/> Basic national fee.....	\$300	\$300.00				22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....	\$100	\$200.00				All other situations.....	\$200					23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....	\$100	\$400.00				International Search Report prepared and provided to the Office.....	\$400					All other situations.....	\$500				
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<b>TOTAL OF 21, 22 and 23 =</b> \$900.00																																									
<p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p> <table border="1"> <thead> <tr> <th>Total Sheets</th> <th>Extra sheets</th> <th>Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th>RATE</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr> <td>- 100 =</td> <td>/50 =</td> <td></td> <td>x \$250</td> <td style="text-align: right;">\$</td> <td></td> </tr> </tbody> </table>						Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE			- 100 =	/50 =		x \$250	\$																									
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<p>Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <th colspan="2"></th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td>5 - 20 =</td> <td>0</td> <td>x \$ 50</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td>Independent Claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$200</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td colspan="3">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$360</td> <td style="text-align: right;">\$</td> <td></td> </tr> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL OF ABOVE CALCULATIONS =</b></td> <td colspan="2" style="text-align: right;"><b>\$900.00</b></td> </tr> </tbody> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total Claims	5 - 20 =	0	x \$ 50	\$		Independent Claims	1 - 3 =	0	x \$200	\$		MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$		<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$900.00</b>							
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<p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.</p> <table border="1"> <tr> <td colspan="4" style="text-align: right;"><b>SUBTOTAL =</b></td> <td colspan="2" style="text-align: right;"><b>\$900.00</b></td> </tr> </table>						<b>SUBTOTAL =</b>				<b>\$900.00</b>																															
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<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +</p> <table border="1"> <tr> <td colspan="4" style="text-align: right;"><b>TOTAL FEES ENCLOSED =</b></td> <td colspan="2" style="text-align: right;"><b>\$900.00</b></td> </tr> </table>						<b>TOTAL FEES ENCLOSED =</b>				<b>\$900.00</b>																															
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<p>a. <input checked="" type="checkbox"/> A check (check number <b>24317</b>) in the amount <b>\$900.00</b> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. 15-0700 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.</p>																																									
SEND ALL CORRESPONDENCE TO: James A. Finder Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas New York, New York 10036-8402			 James A. Finder Registration Number: 30,173 Telephone: (212) 382-0700																																						

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CERTIFICATION

I am the individual who sealed the envelope addressed to the Commissioner for Patents for the new application indicated below.

I certify that immediately before sealing the envelope, I checked its contents and made sure that each and every item listed on the transmittal letter was in the envelope. I then sealed the envelope.

I declare that all statements made herein of my own knowledge are true and were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of title 18 of the United State Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

Dated: April 20, 2006

  
Lisa Fiumara

OFGS File Number: P/1071-1616

First Applicant: Wataru KAKINOKI et al.

Title: CARD DEVICE